

Monitoring and Troubleshooting Adherence to PAP Devices and Understanding Device Downloads

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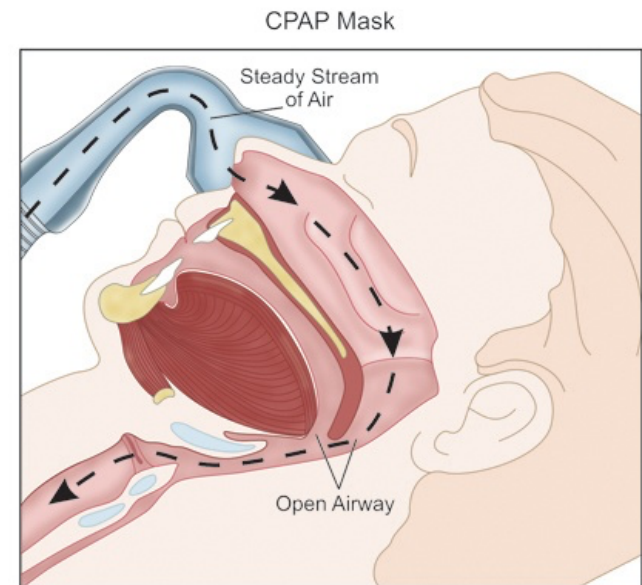


Outline

- Efficacy versus effectiveness: the problem of adherence
- Monitoring and troubleshooting adherence
 - “Smart” PAPs
 - Comfort settings
 - Personalized sleep medicine

Continuous Positive Airway Pressure (CPAP)

- Continuous PAP throughout inspiration and expiration
 - Pneumatic stent preventing airway collapse
 - Provides PEEP to recruit alveoli and improve ventilation
- CPAP must continually adjust for leak as well as respiratory variation to maintain a constant pressure



Monitoring treatment adherence and efficacy



Back to my patients
Watkins, Mary

Patient is active Deactivate patient
Phoned-in compliance Download from SmartCard
Modem status: Waiting for first call Next scheduled call: N/A
Edit profile Show/hide details

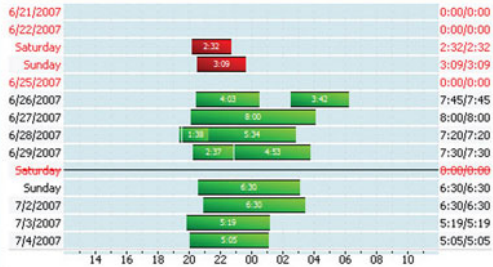


| | | | | | |
|------------|---|------------------------|---------------------|--------------|-----------------------------------|
| Patient ID | BobCo4 | DME | BobCare | Device | REMstar Auto M Series with A-Flex |
| Setup date | 1/15/2004 | Primary Care Physician | Walkin, Chris | Therapy mode | AutoCPAP with A-Flex |
| Home phone | 412-381-9207 | Sleep doctor | Jones, David | Pressure | 8.0 - 20.0 |
| Address | 875 Center Avenue Pittsburgh, PA 15213 | Clinician | Clinician, Patricia | Mask | OptLife, medium |
| | | Sleep lab | N/A | | |

Patient Profile

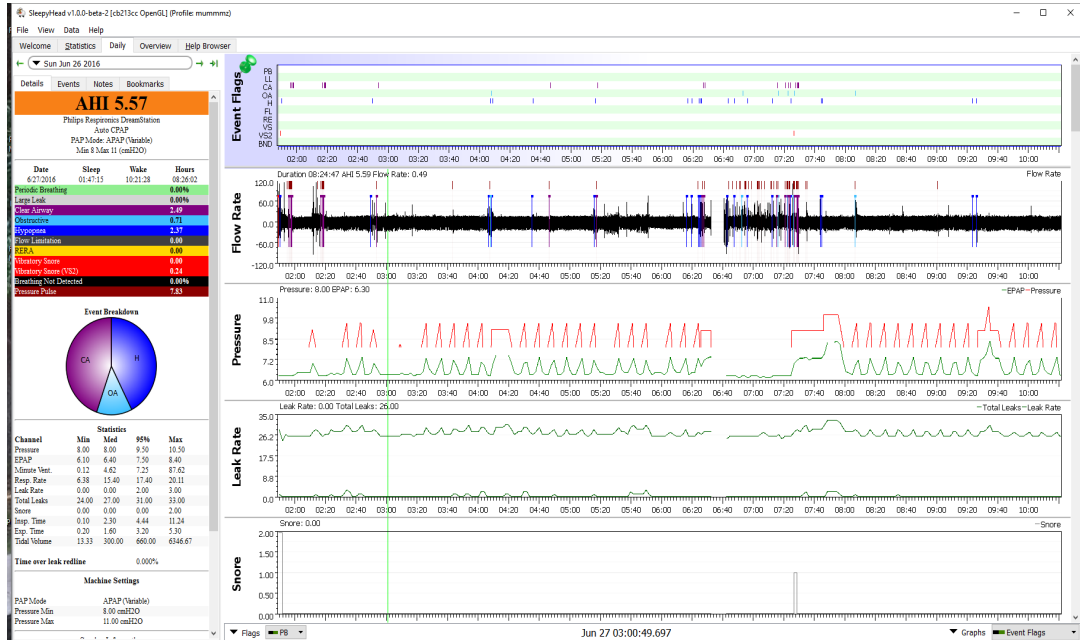
- Patient Summary
- Prescription
- Therapy Data
- Reminders
- Contacts
- Questionnaires
- Notes
- History

COMPLIANCE (LAST 14 DAYS)



MY PRIORITY ITEMS

- 7/20/2007 Note to clinician (Follow up with patient to...)
- 7/6/2007 Prescription changed (Device prescription was m...)
- 6/27/2007 Prescription changed (Device prescription was m...)
- 6/8/2007 Prescription changed (Device prescription was m...)
- 6/6/2007 Low hours of usage (0 hours)
- 6/6/2007 AHI too high (69)
- 5/11/2007 Prescription send error, will retry (T000000789)
- 4/20/2007 Modem therapy device error (W000001965)
- 4/10/2007 Prescription changed (Device prescription was m...)



PAP data download: Summary

CPAP-Supply.com

Therapy Data Summary - All Data

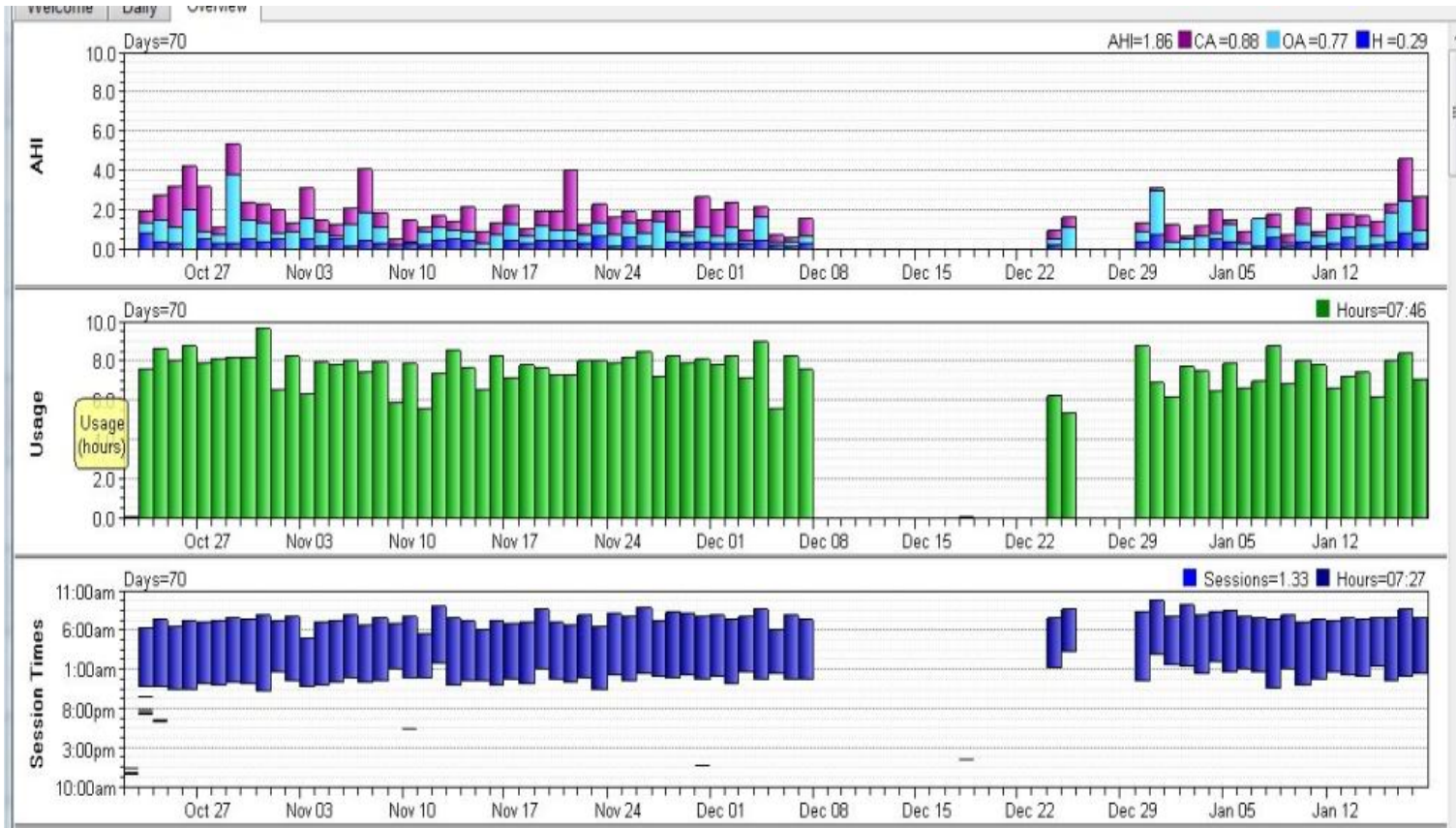
Compliance Summary

| | |
|---|----------------------------------|
| Date Range | 10/18/2007 - 10/21/2007 (4 days) |
| Days with Device Usage | 4 days |
| Days without Device Usage | 0 days |
| Percent Days with Device Usage | 100.0% |
| Cumulative Usage | 1 day 4 hrs. 59 mins. 13 secs. |
| Maximum Usage (1 Day) | 8 hrs. 20 mins. 3 secs. |
| Average Usage (All Days) | 7 hrs. 14 mins. 48 secs. |
| Average Usage (Days Used) | 7 hrs. 14 mins. 48 secs. |
| Minimum Usage (1 Day) | 6 hrs. 22 mins. 31 secs. |
| Percent of Days with Usage \geq 4 Hours | 100.0% |
| Percent of Days with Usage $<$ 4 Hours | 0.0% |
| Total Blower Time | 1 day 5 hrs. 39 mins. 13 secs. |

Auto CPAP Summary

| | |
|--|-----------------|
| Auto CPAP Mean Pressure | 8.0 cm H2O |
| Auto CPAP Peak Average Pressure | 8.8 cm H2O |
| Average Device Pressure \leq 90% of Time | 10.3 cm H2O |
| Average Time in Apnea Per Day | 2 mins. 9 secs. |
| Average Time in Large Leak Per Day | 0 secs. |
| Average AHI | 6.0 |

PAP data download: Usage



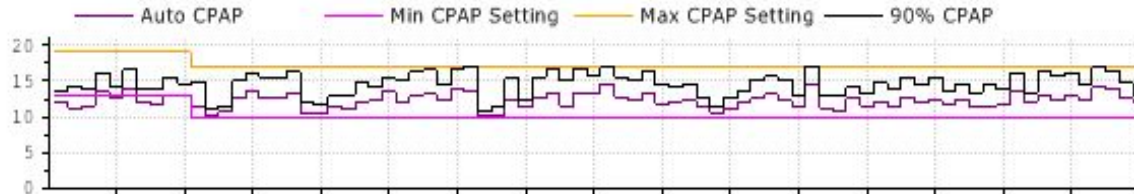
PAP data download: Efficacy

Sleep Therapy Long Term Trend

8/20/2012 - 11/7/2012

A-Flex™

Pressure (cmH2O)



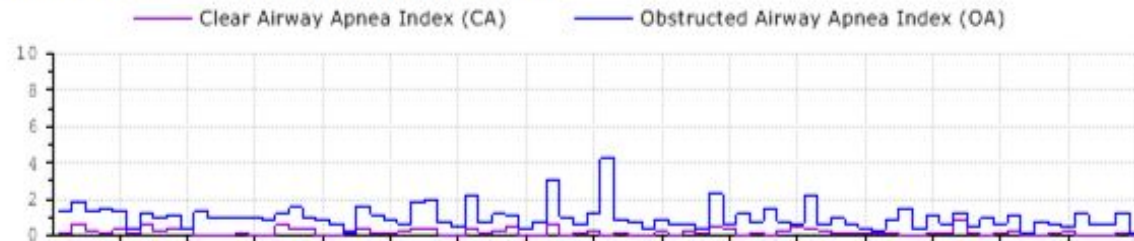
| | |
|-----------------------|------|
| Average 90% Pressure | 14.6 |
| Average CPAP Pressure | 12.3 |

Percent of Night in Periodic Breathing (PB)



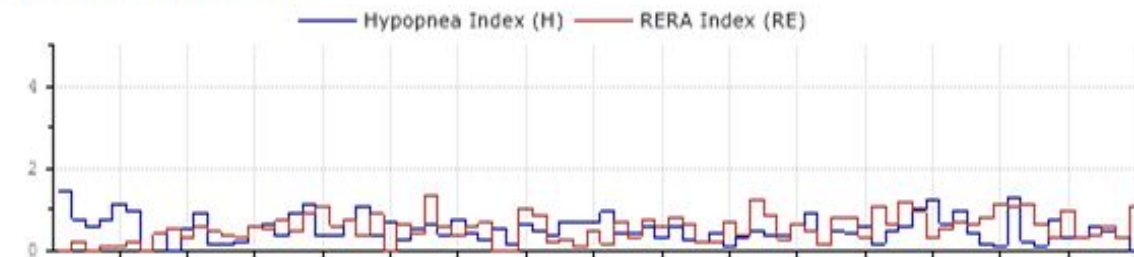
| | |
|--|------|
| Average % of Night in Periodic Breathing | 0.0% |
|--|------|

Clear Airway And Obstructed Airway Apnea Indices



| | |
|------------------|-----|
| Average CA Index | 0.2 |
| Average OA Index | 1.0 |

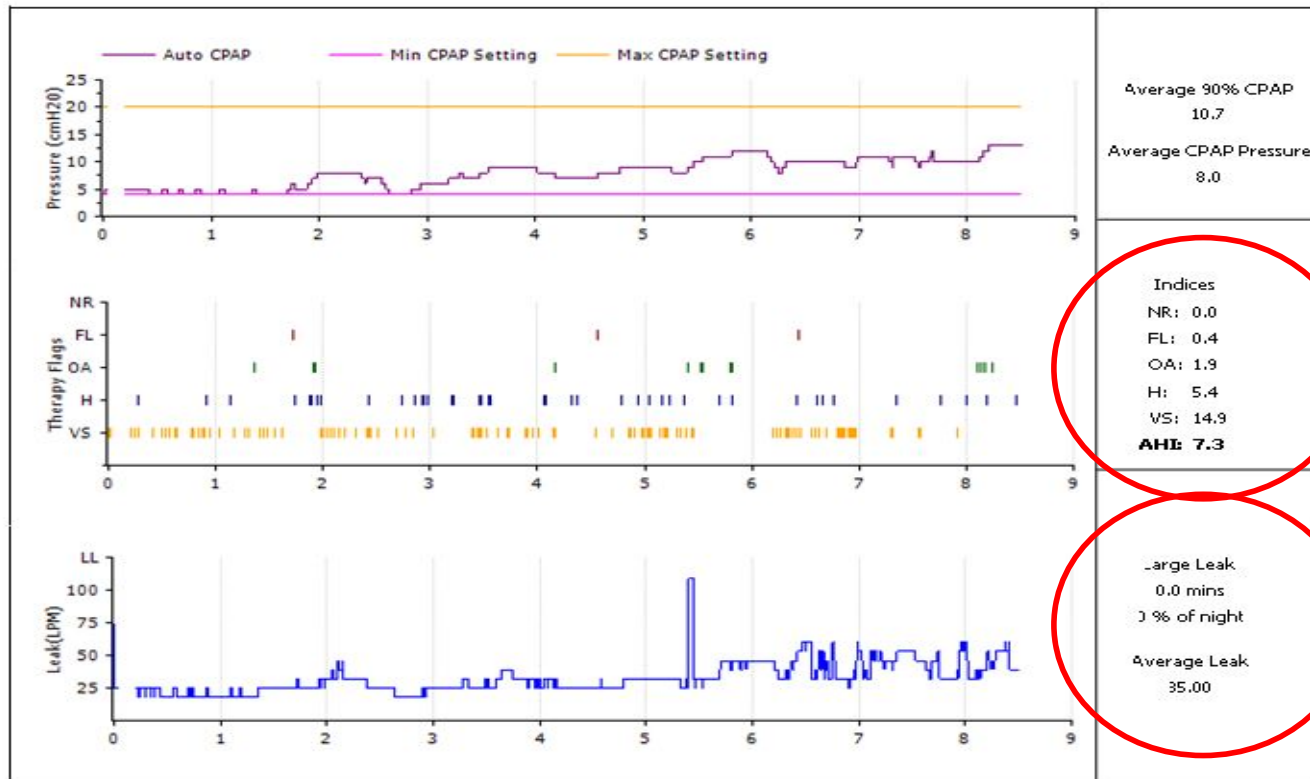
Hypopnea And RERA Indices



| | |
|------------------------|-----|
| Average Hypopnea Index | 0.5 |
| Average RERA Index | 0.6 |
| Average AHI | 1.7 |

Daily Details

10/18/2007 9:06 PM - 10/19/2007 5:36 AM



Daily Events per Hour

10/18/2007

Total AHI:7.3

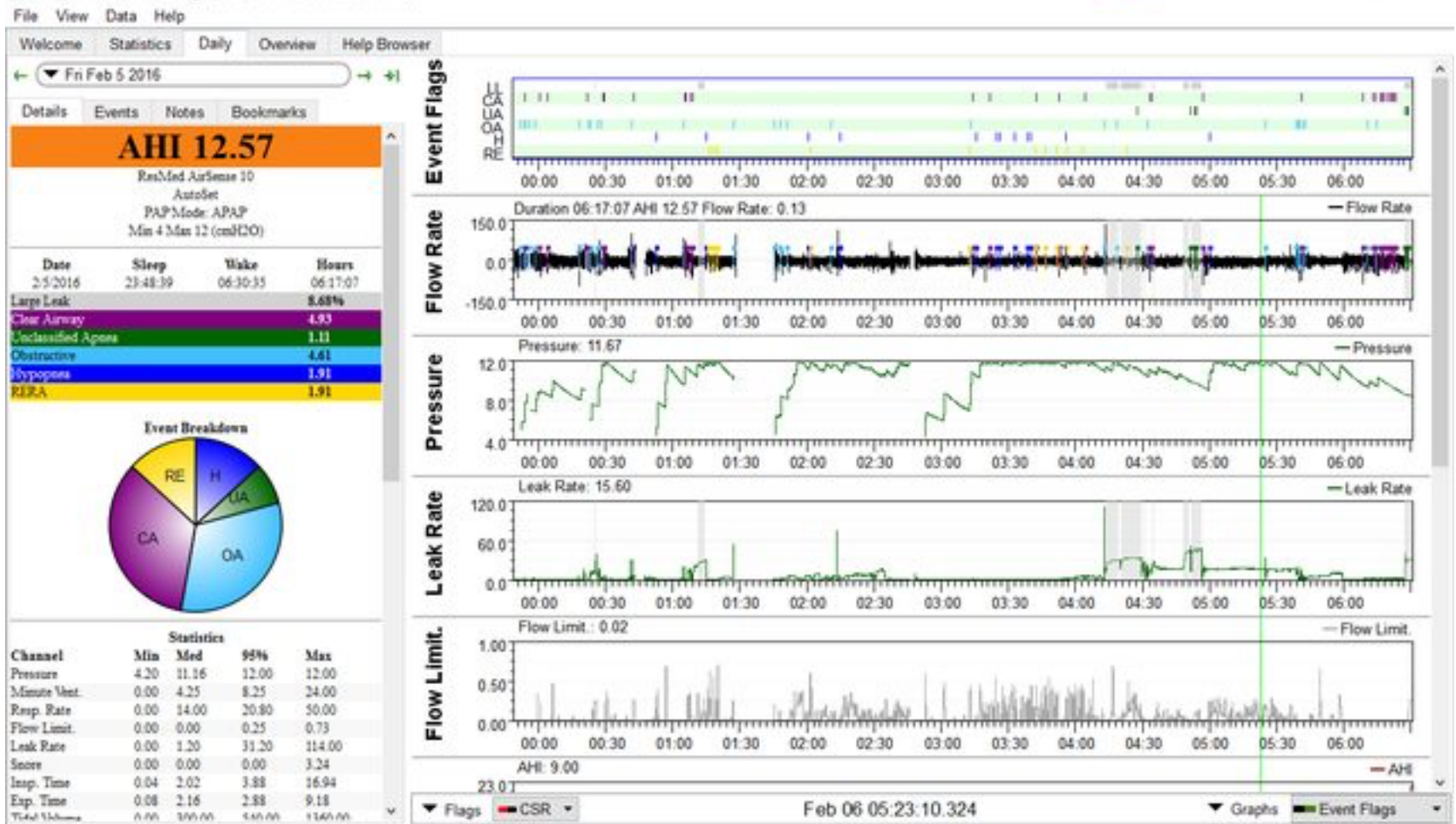
| P | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |
|-----|------|------|------|------|------|------|------|------|------|------|-----|-----|-----|-----|-----|-----|-----|
| MaP | 77.5 | 43.6 | 23.0 | 51.5 | 65.5 | 72.0 | 73.5 | 52.5 | 22.5 | 18.5 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| % | 15.5 | 3.7 | 4.6 | 10.3 | 13.1 | 14.4 | 14.7 | 10.5 | 4.5 | 3.7 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| FL | 3.0 | 1.4 | 0.0 | 1.2 | 0.0 | 0.0 | 3.8 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| VS | 20.1 | 12.4 | 2.6 | 11.7 | 19.2 | 30.0 | 17.1 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| NR | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 | 3.0 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| OA | 3.8 | 3.0 | 2.6 | 1.2 | 1.8 | 0.0 | 1.6 | 5.7 | 5.3 | 5.5 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| H | 3.9 | 5.9 | 13.0 | 3.2 | 6.4 | 3.3 | 5.7 | 3.4 | 2.7 | 3.2 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| AHI | 4.7 | 5.9 | 15.6 | 3.4 | 8.2 | 3.3 | 7.3 | 9.1 | 3.0 | 3.7 | 0.0 | 3.0 | 3.0 | 0.0 | 3.0 | 0.0 | 0.0 |

30%

Legend

P - Pressure, MaP - Minutes at Pressure, % - Percent of Night, FL - Flow Limitation, VS - Vibratory Snore, NR - Von-Responsive Apnea/Hypopnea, H - Hypopnea, OA - Obstructive Apnea, AHI - Apnea/Hypopnea Index

Auto-CPAP (APAP)

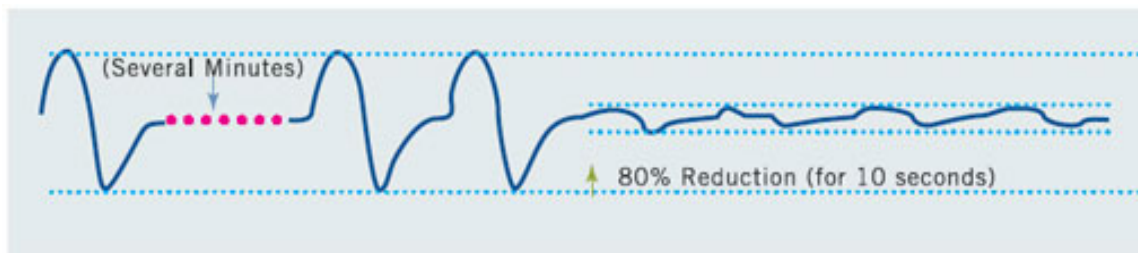


How do PAP machines determine hypopneas and apneas?

Flow is compared to recent flow to see if it remains under a threshold for at least 10 seconds



Hypopnea



Apnea

Flow determination

Root Mean Square (ResMed)

The Breathing Index at any given point in time is calculated as the square root of the variance of the digitized flow signal, f_n :

$$\text{breathing index} = \sqrt{\frac{\sum_{i=0}^{l-1} f_{n-i}^2 - \frac{1}{l} \left(\sum_{i=0}^{l-1} f_{n-i} \right)^2}{l}} \quad \text{where } l = 2 \cdot \text{sample rate}$$

The average variance calculated over a moving time window is compared with a Threshold by the level detector 127, to generate an “airflow-ceased” trigger. This starts the timer 128. If the trigger persists for more than 10 seconds,

Weighted peak flow (Respironics)

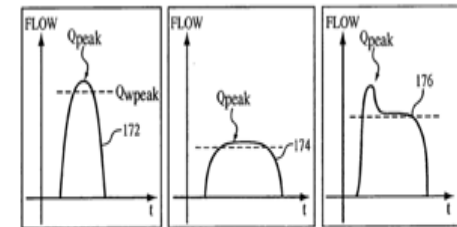
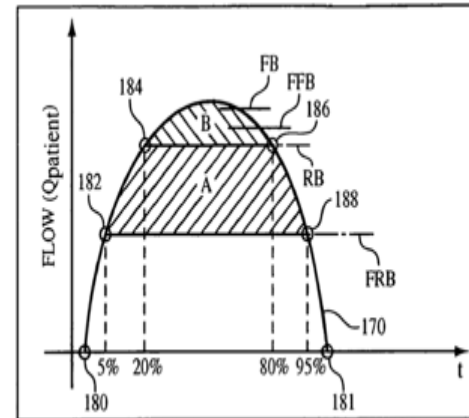


FIG. 4A

FIG. 4B

FIG. 4C

Differences in apnea and hypopnea detection

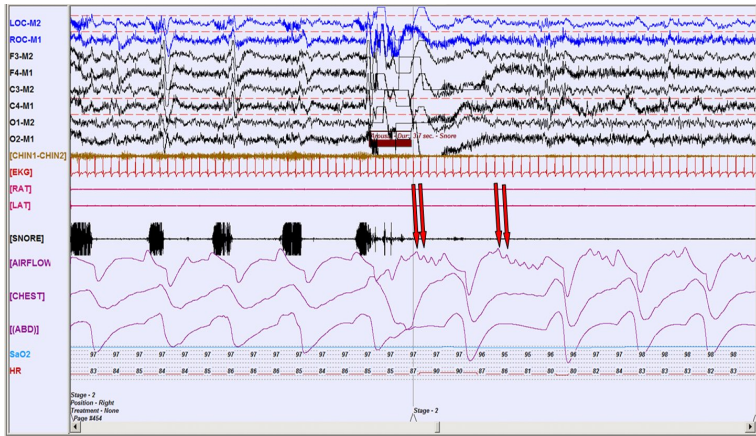
| Device | ResMed S8/S9/S10 AutoSet | ResMed S10 AutoSet for Her | Respironics System One REMstar Auto | DeVilbiss IntelliPAP AutoAdjust | DeVilbiss IntelliPAP AutoAdjust 2 |
|---------------------------|--|---|--|--|--|
| Apnea detection | 2 sec root mean square (RMS) moving average < 25% of prior 1 minute for 10 sec | 2 sec RMS moving average < 25% of prior 1 minute for 10 sec | Weighted peak flow (WPF) per breath <20% of prior 4 minute for 10 sec, terminating with breath >30% | Recent 1 minute with flow amplitude <10% of prior 5 minute for 10 sec (or set 0-20% for 6-150 sec) | 4 sec RMS moving average < 10% of surrounding 3 minutes for 10 sec |
| Non- OA detection | S8: None S9-S10: 1 cm 4Hz FOT throughout apnea with mixed apnea detection | 1 cm 4 Hz FOT with mixed apnea detection | Pressure pulse few seconds into apnea but if larger than expected breath at end of apnea, event is defined as obstructive. | < 5% for 10 sec | Modulating 0.07 cm 3 ½-4 ½ Hz micro-oscillation throughout apnea |
| Hypopnea detection | S8: 12 sec RMS scaled average 25-50% for 10 sec S9-S10: Above with at least 1 obstructed breath | 12 sec RMS scaled average 25-50% for 10 sec with at least 1 obstructed breath | 20-60% for 10 sec and either 60 sec or a terminating breath over 75% of recent WPF | 10-50% for 10 sec (adjustable to 30-70% for 6-150 sec) | RMS average 10-40% default (adjustable to 30-50%) for 10 sec |

Adapted from Dr. Karin Johnson, Tufts Medical

How does a machine differentiate a central from obstructive apnea?

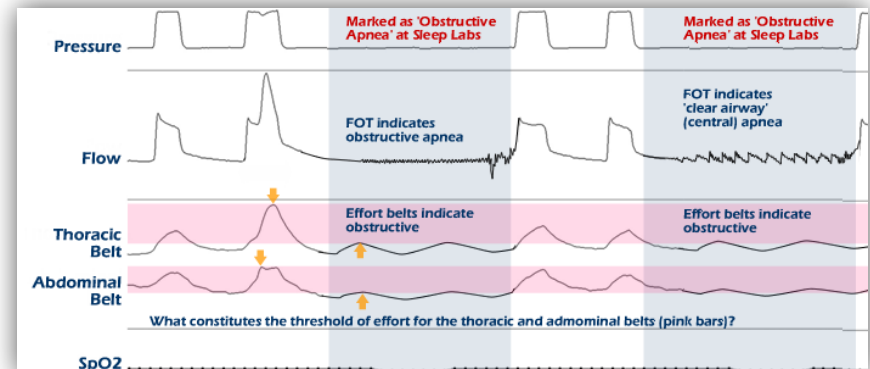
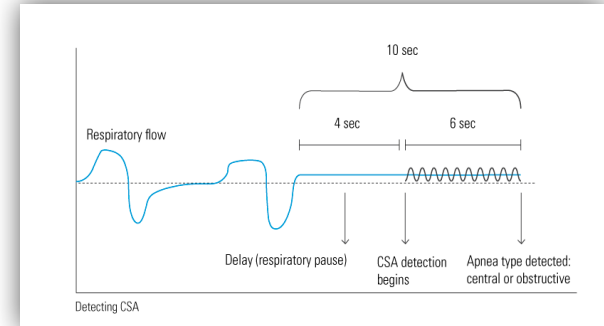
Cardiogenic pulse artifact

If the airway is open cardiogenic pulse artifact can be picked up by the machines pressure transducers



Forced oscillation technique (FOT)

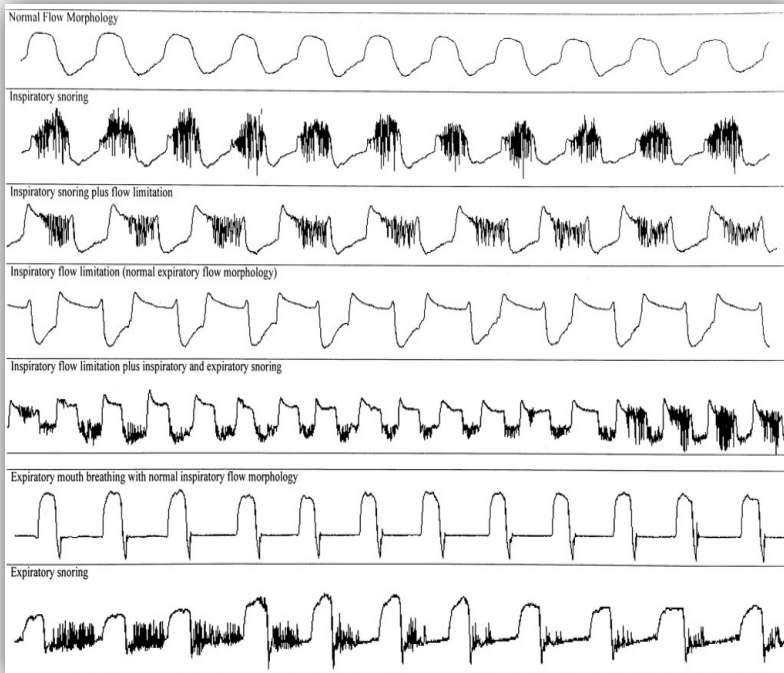
If the machine oscillates the flow and the airway is closed then the transducers will pick up the oscillations, but if the airway is open the oscillations will dissipate



Berthon-Jones ML, inventor; ResMed Ltd, assignee.
Determination of patency of the airway. United States
Patent US 7730886. 2010 Jun 8.

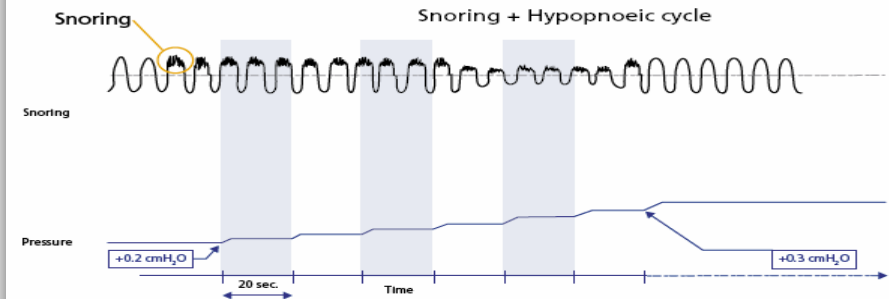
Snoring Detection

- Snore is detected by vibratory signals and flow oscillation
- Increased leak may appear as snore so machines may not respond to snore with high leaks



SNORING

Snoring is inspiratory noise caused by the vibration of the soft parts of the oropharyngeal walls.



Response

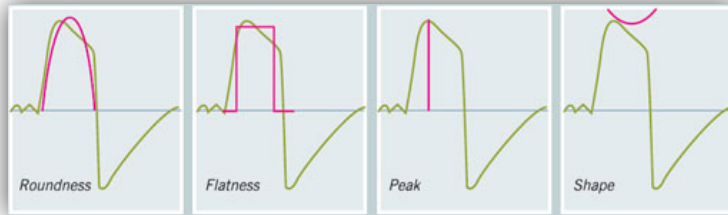
- 0.2 cmH₂O increase every 20 seconds if snoring is in absence of hypopnoea
- 0.3 cmH₂O increase every 20 seconds if snoring is in conjunction with hypopnoea

Control and Limits

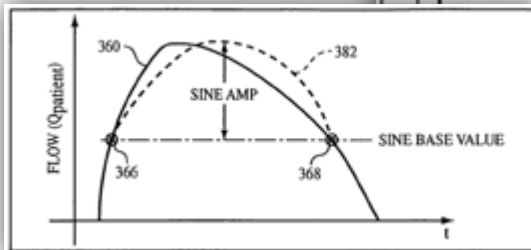
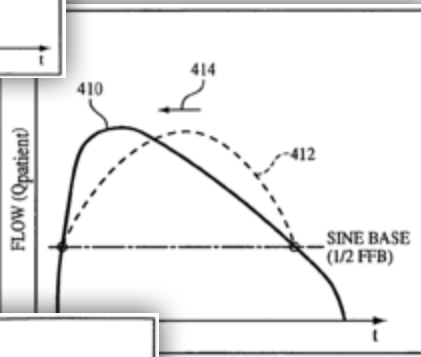
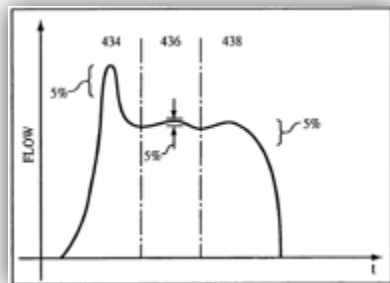
- 3.0 cmH₂O maximum increase for snoring in absence of other obstructive events
- Cannot exceed Max P, set by clinician

Puritan Bennett Sandman AutoPAP

Detecting Flow Limitation

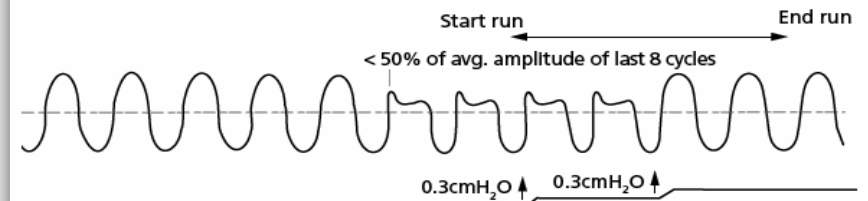


Respironics



Resmed

Runs of Inspiratory Flow-Limited and Hypopnoeic cycles



Response

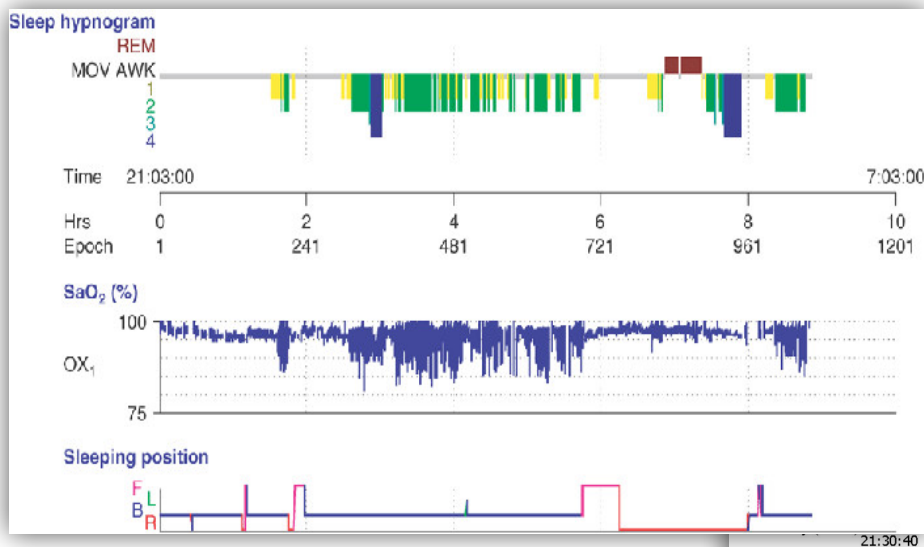
- 0.3 cmH₂O increase on identification of run if in conjunction with hypopnoea (50% decrease from baseline amplitude)
- 0.1 cmH₂O increase on identification of run in absence of hypopnoea
- Subsequent pressure increases every 2 breaths until Run resolved (up to 3 additional per Run). The increase is 0.3 or 0.1 cmH₂O depending on the presence of hypopnoea

Control and Limits

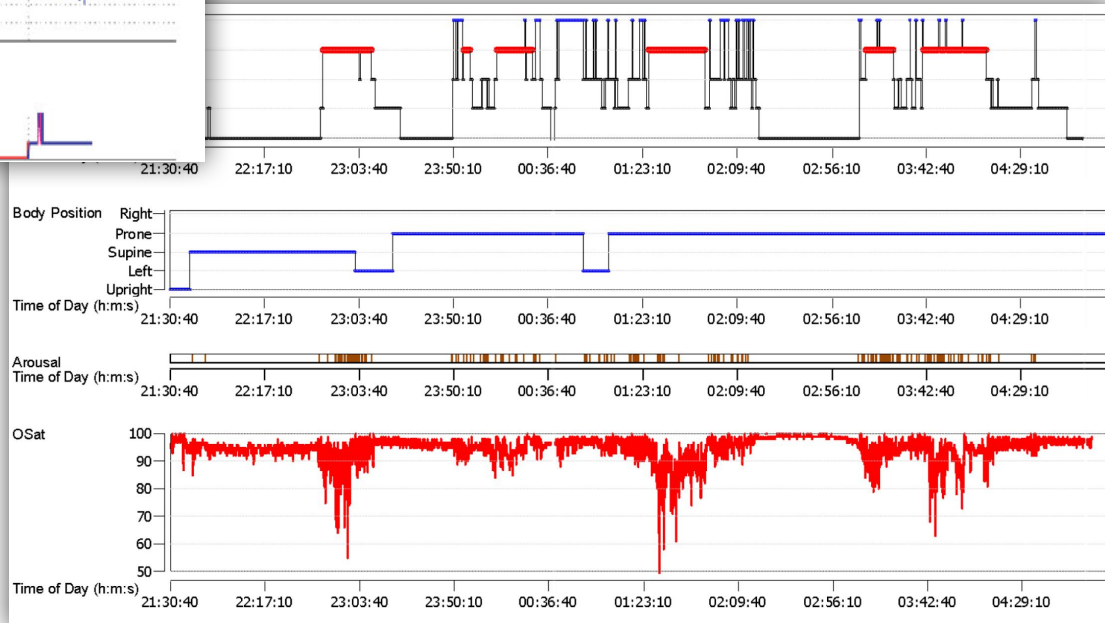
- Maximum of 4 increases or total increase of 1.2 cmH₂O on the same run
- Delivery cannot exceed Max P, set by clinician
- Clinician can disable response on Inspiratory Flow Limitation

Puritan Bennett AutoPAP

AutoPAP 5-20 cmH2O: Benefits



Pillai et al, JCSM 2014



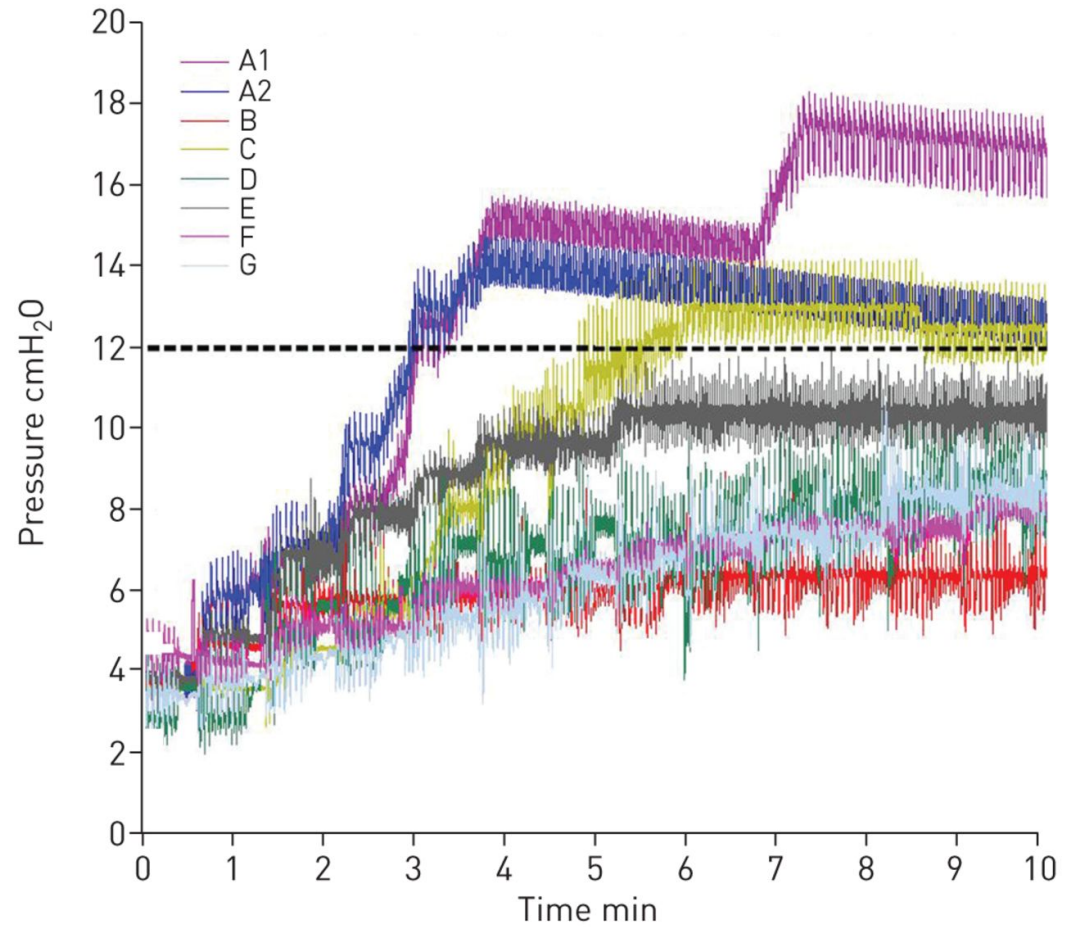
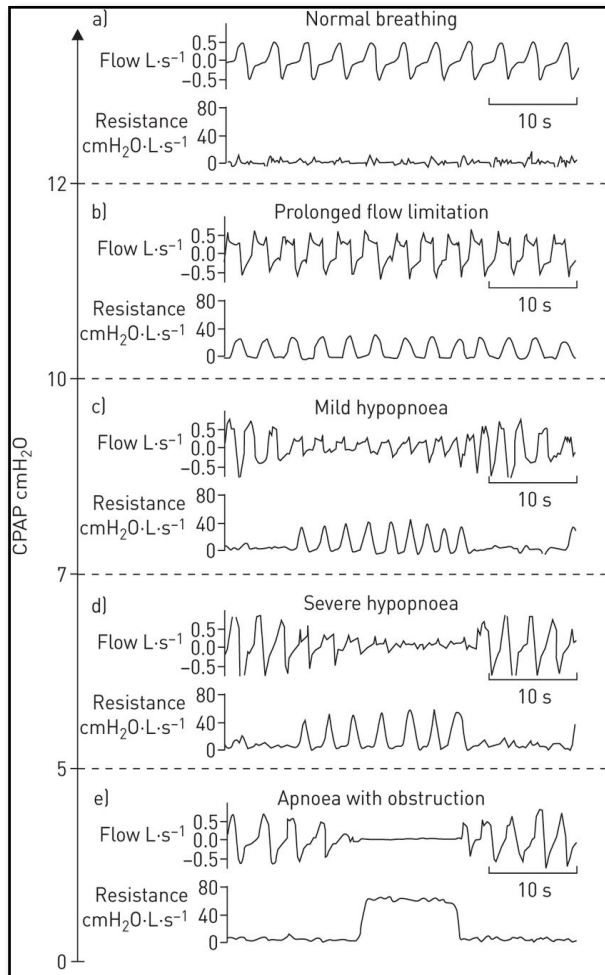
AutoPAP 5-20 cmH₂O: Drawbacks

- Pressure requirements may quickly change (e.g. position change, REM sleep)
- Algorithm for pressure changes is reactive
- Time to complete control of apneic events may be delayed, and events are allowed to occur
- Risk of over-titrations, induced centrals, unstable airway

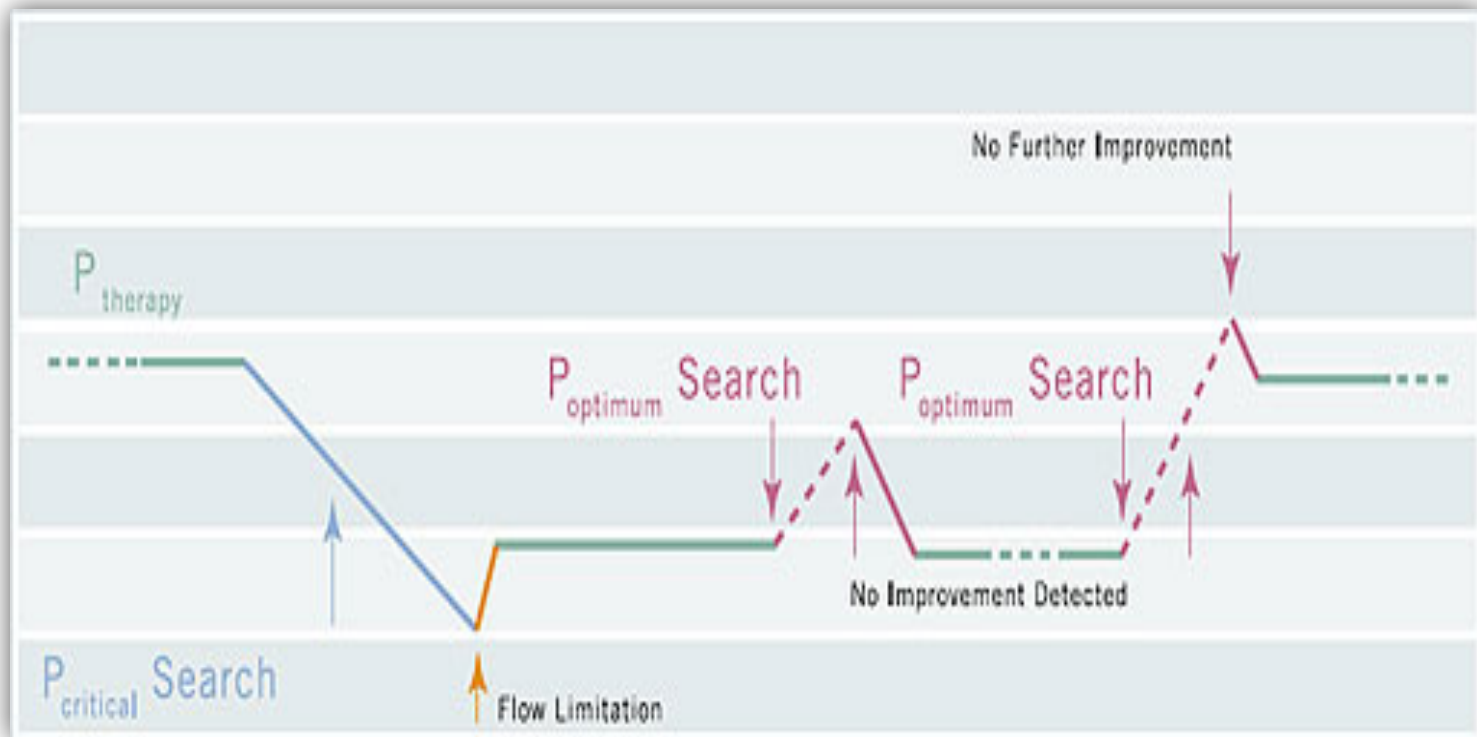
Algorithm for APAP pressure changes

| | ResMed S9/S10 AutoSet (for Her) | Respironics System One REMstar Auto | Devilbiss IntelliPAP AutoAdjust 2 |
|---------------------------------------|---|--|--|
| Pressure Increase | Increases pressure up to 3 cm/10 seconds for apneas and up to 0.5-6 cm/breath for flow limitation with decreasing response at higher pressures | Increases pressure up to 1 cm/min for at least 2 apneas or hypopneas or snore, Limits increase to 3 cm for apnea only | max 1 cm/min increase |
| Pressure Decrease | S9-S10: Gradual decrease to Pmin over 40 min after apnea, over 20 min after FL or snoring S10 For Her: Gradual decrease to Pmin over 40 min after apnea and over 20 min after snore and 60 min after flow limitation as soon as breathing is stable. | Pcrit search decreasing 0.5/min until Pmin or FL If high variable breathing is noted, if recent (5 min) pressure was stable then pressure stays same, if recent pressure decrease then increases by 0.5/min up to 2, and if recent pressure increase then decreases by 0.5/min up to 2 If large leak, reduces pressure by 1 over 10 sec and holds pressure for 2 min | Decides whether to decrease every min. If no events in 1 min period, small decrease of < 0.1/min. If no events in 6 min period, decrease by 0.1/min. If central apneas, pressure decreases and blocks increases for 6 minutes. If periodic breathing, blocks increases and if persists starts decreasing pressure |
| Pressure change considerations | If current pressure is high, less increase to apneas, flow limitation and snore If leak is high, less response to flow limitation and snore | If pressure is high, higher snore threshold must be reached to change pressure If leak is high, less response to flow limitation and snore | If leak is high, less response to flow limitation and snore |

Pressure increase delivered by APAP devices during the first 10 min of the bench test



Searching for an optimal pressure

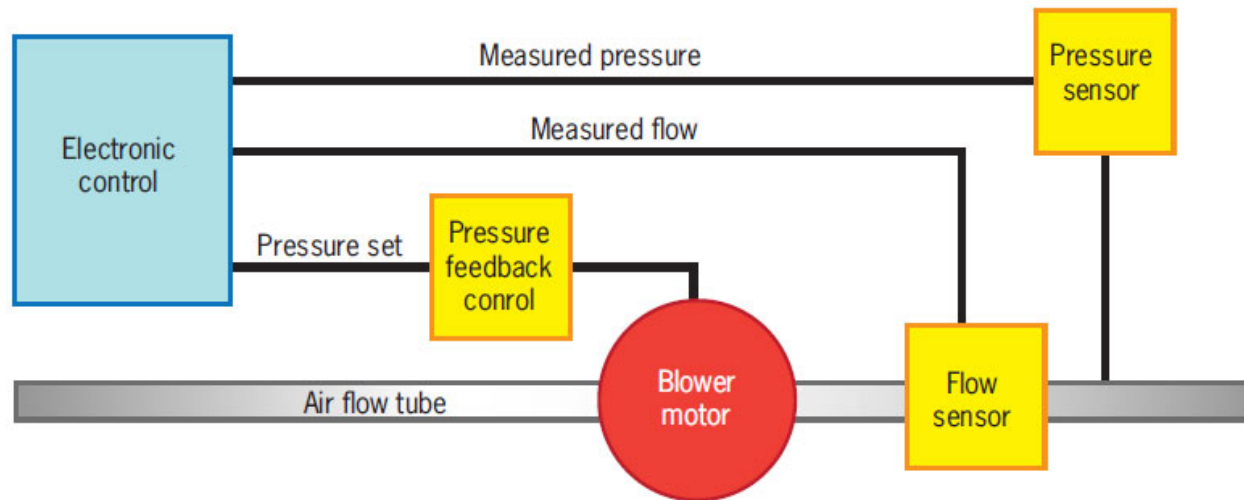


APAP algorithms for more targeted therapy (Respironics)

| CPAP-Check | Opti-Start | Auto-Trial |
|--|--|--|
| <p>Checks the 90% pressure every 30 hours</p> <p>Decides whether to leave the EPAPmin unchanged, or changes the EPAPmin up or down by 1 but not more than 3 from set EPAP.</p> | <p>Monitor average pressure needed over 30 hrs use, and start therapy at this pressure</p> | <p>Sets pressure at AutoCPAP 4-20 for 3 to 30 days then reverts to CPAP at the pressure that the patient was at or below 90% of the time</p> |

How can the machine calculate flow and pressure at the mask?

BLOCK DIAGRAM FOR GENERAL CPAP MACHINE OPERATION



Berthon-Jones ML, inventor; Resmed Ltd, assignee. Assisted ventilation to match patient respiratory need. United States Patent US 6532957. 2003 Mar 18.

What is expected leak?

- Expected leak from leak through exhalation ports on the mask
- Expected leak varies by mask type and pressure level
- Unintentional leak arises from the mouth or around the mask
- In general, compensated leak ~ 24 L/min with nasal masks and ~ 36 L/min with full face masks



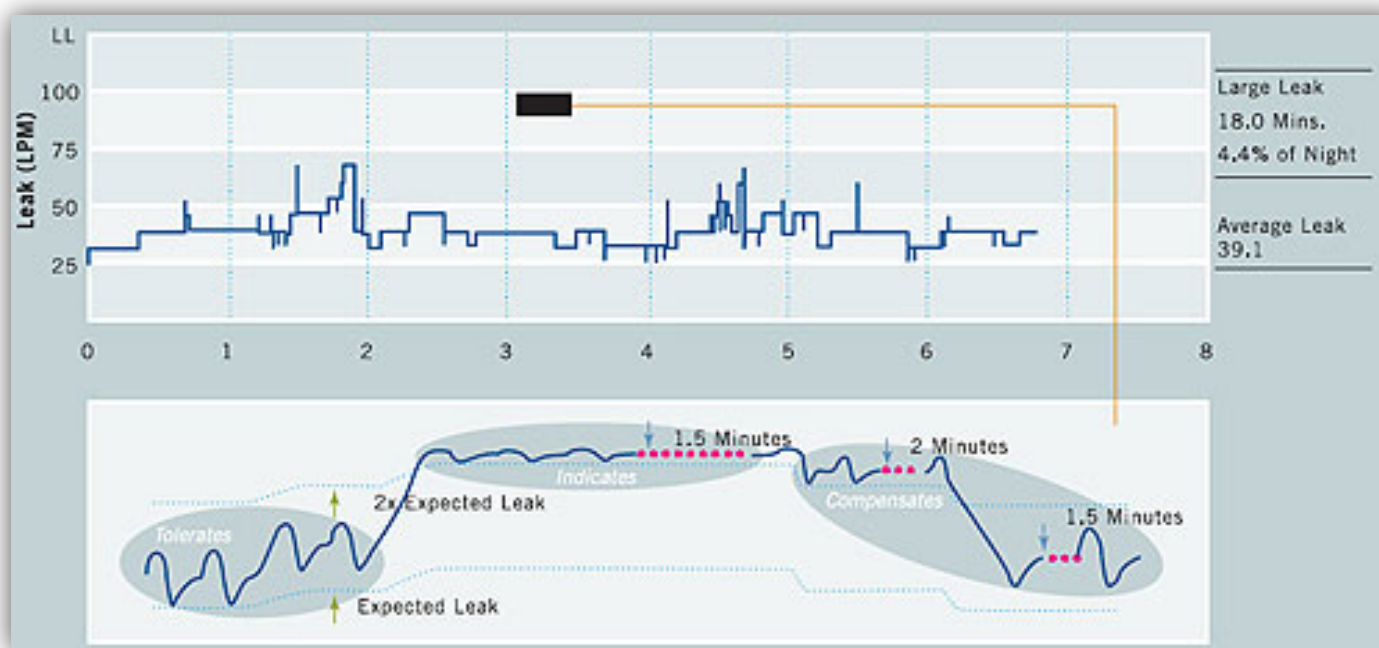
| Pressure (cm H ₂ O) | Mirage Micro Nasal Mask | Mirage Apollo [®] Nasal Mask | Ultra Mirage [™] II Nasal Mask | DeWit [™] LT Head Filtrary | Mirage Swift II Nasal Filtrary | Mirage Liberty Full Face Mask | Mirage Quantum [™] Full Face Mask | Ultra Mirage Full Face Mask |
|--------------------------------|-------------------------|---------------------------------------|---|-------------------------------------|--------------------------------|-------------------------------|--|-----------------------------|
| 4 | 19.2 | 19.2 | 19.2 | 20.3 | 20.3 | 22.1 | 22.1 | 22.1 |
| 6 | 23.7 | 23.7 | 24.1 | 25.2 | 25.2 | 27.6 | 27.6 | 27.6 |
| 8 | 27.7 | 27.7 | 29.4 | 29.4 | 29.4 | 32.3 | 32.3 | 32.3 |
| 10 | 31.2 | 31.2 | 34.3 | 33.2 | 33.2 | 38.6 | 38.6 | 38.6 |
| 12 | 34.4 | 34.4 | 38.4 | 36.7 | 36.7 | 40.5 | 40.5 | 40.5 |
| 14 | 37.4 | 37.4 | 42.6 | 39.9 | 39.9 | 43.5 | 43.5 | 43.5 |
| 16 | 40.2 | 40.2 | 46.3 | 42.9 | 42.9 | 47.8 | 47.8 | 47.8 |
| 18 | 42.8 | 42.8 | 49.9 | 45.8 | 45.8 | 51.1 | 51.1 | 51.1 |
| 20 | 45.4 | 45.4 | 53.1 | 48.6 | 48.6 | 54.3 | 54.3 | 54.3 |

Expected flow rates (Liters/min)

www.cpaptalk.com

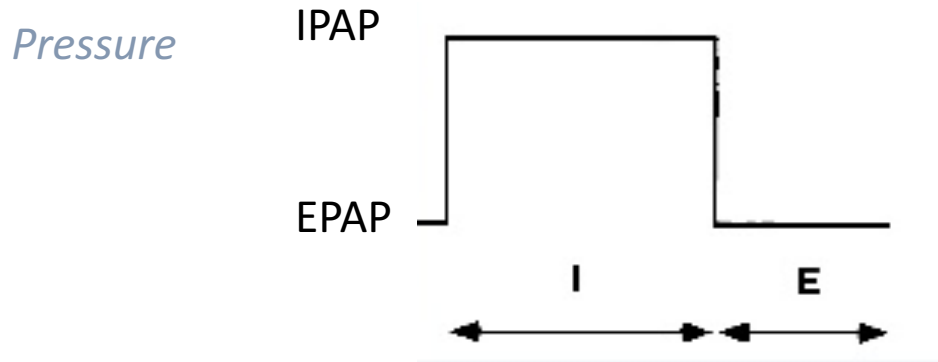
AutoPAP Leak Management

- Calculates large leaks by comparing measured to expected leak
- Compensates by decreasing pressure to “re-seal” mask
- “Auto-Trak” (Respironics) automatically adjusts trigger and cycle thresholds

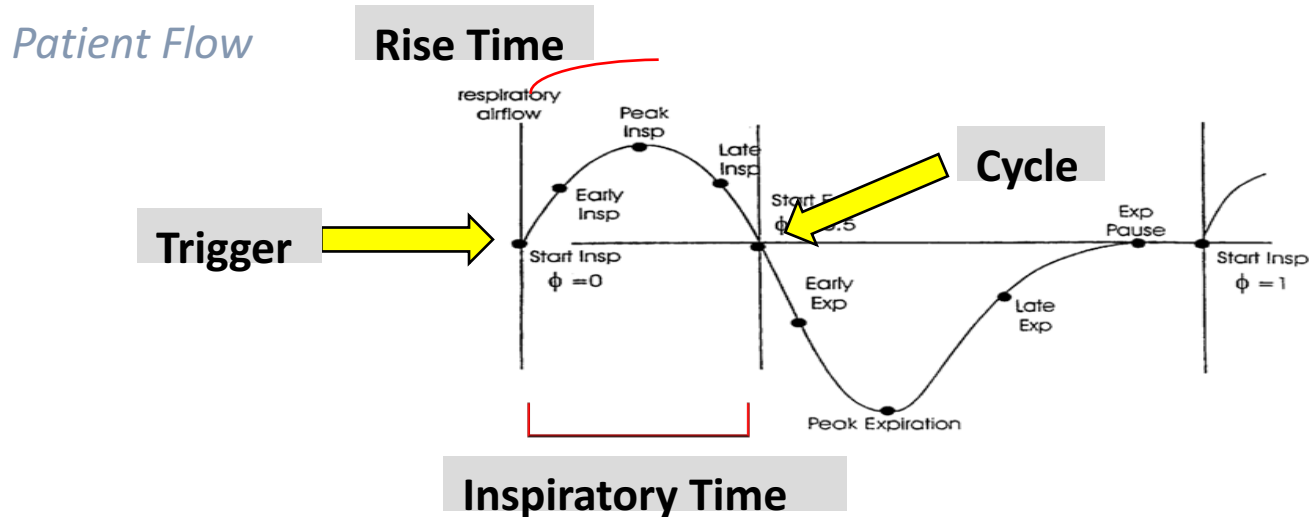


Respironics

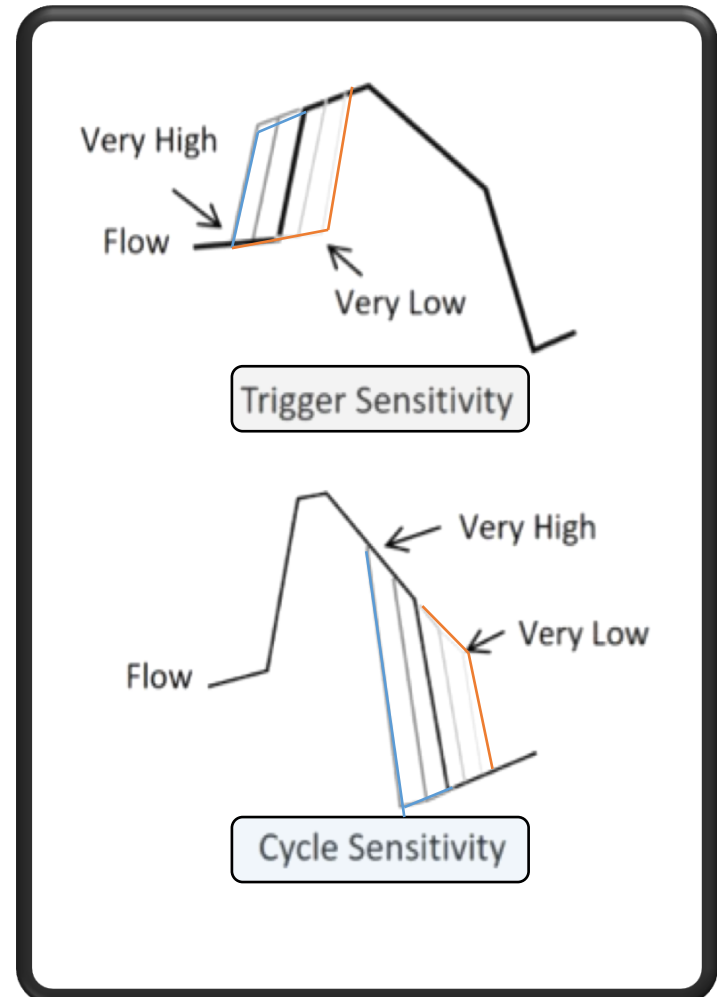
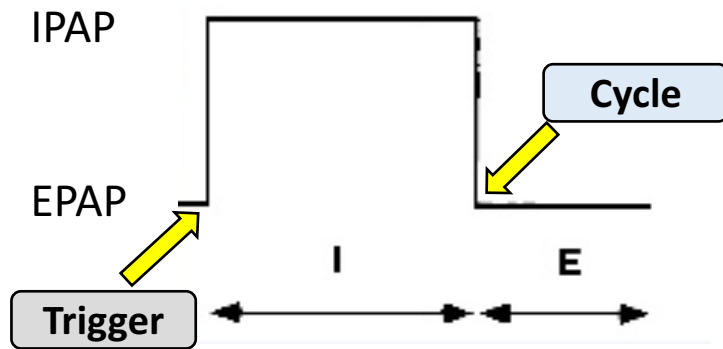
Bilevel PAP for pressure intolerance



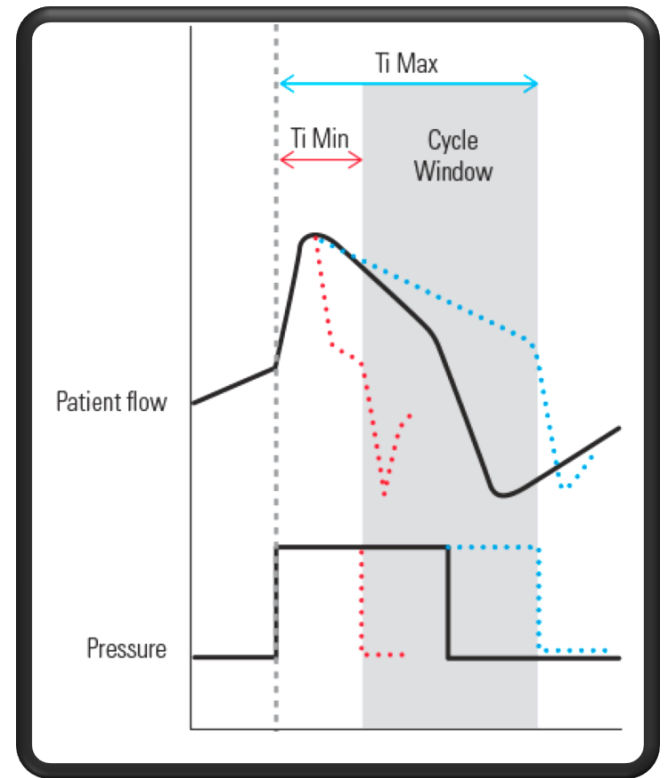
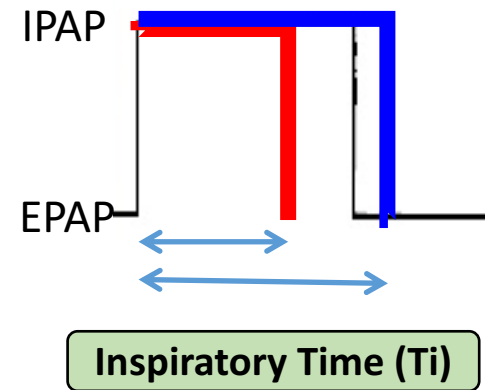
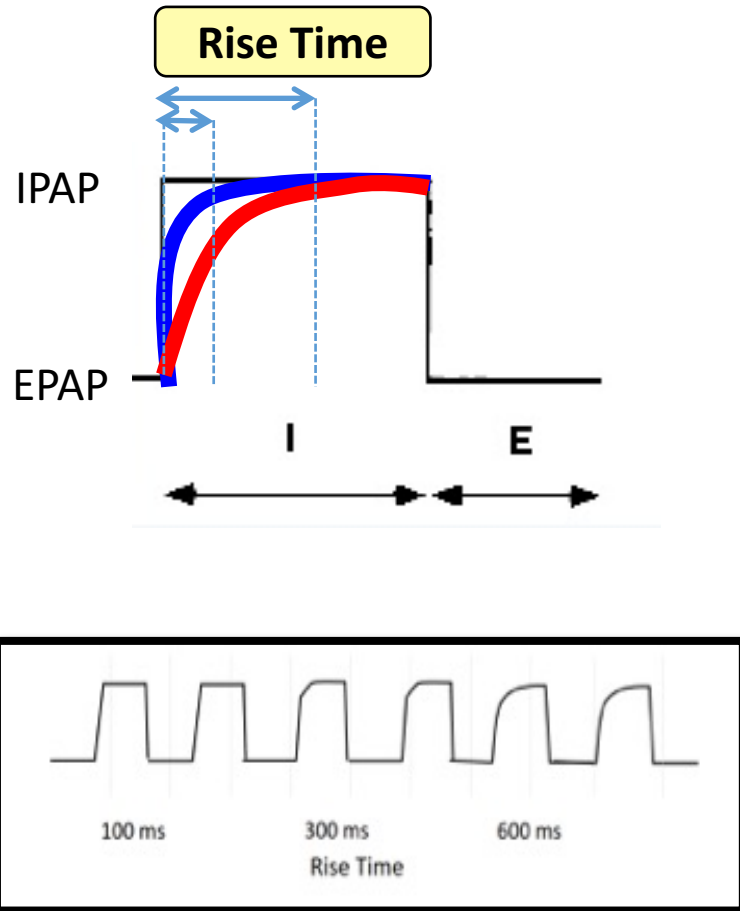
- Bilevel pressures may improve tolerance or help with ventilation
- A backup rate can be added to give a breath with weak or absent respiratory effort.



Bilevel PAP: Coordinated breathing



Bilevel PAP: Supporting pulmonary physiology



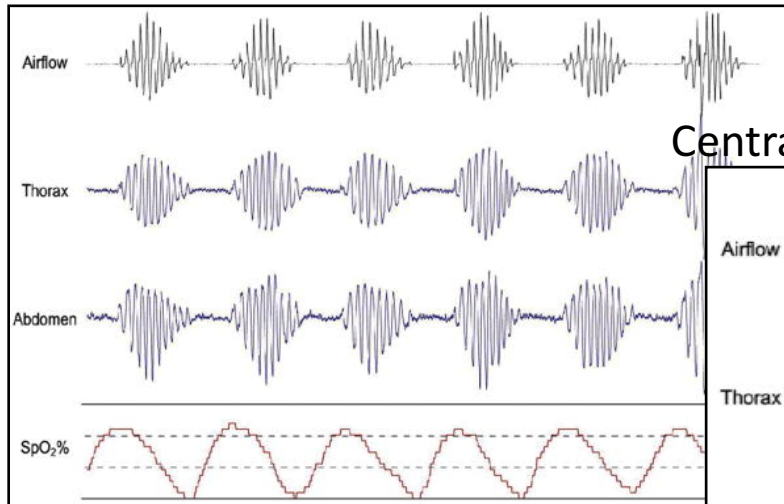
Resmed

BPAP-ST recommendations

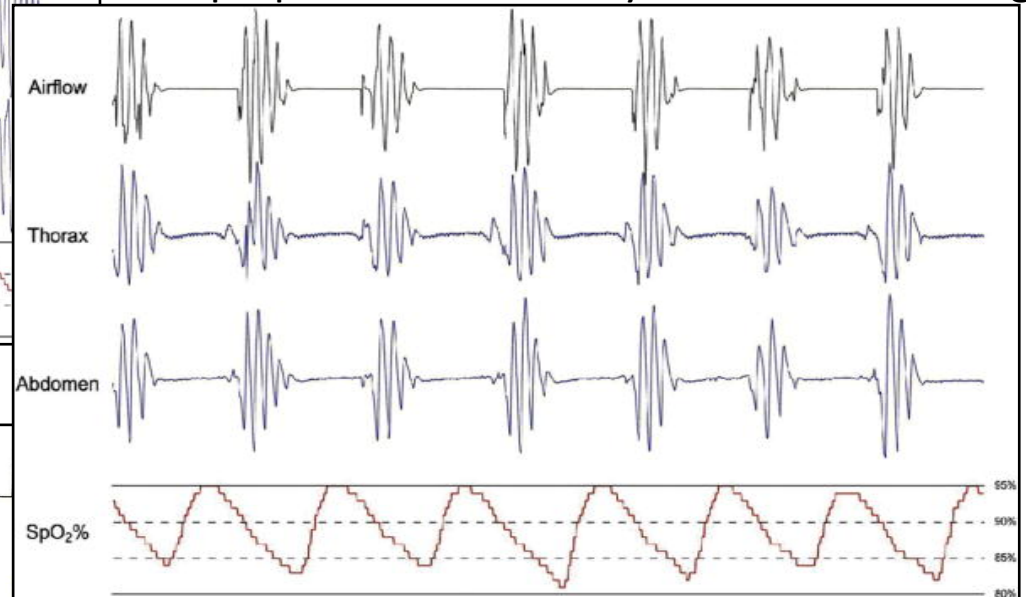
| | OSA | COPD | OHS | NMD | ILD |
|----------------------------|-----------------------------|---|---|--|---------------------------|
| PS | For hypopneas or comfort | TcCO2 TV | TcCO2 TV | TcCO2 TV | WOB |
| EPAP | For obstructive apneas | For obstructive apneas WOB TBM | For obstructive apneas Hypoxemia TBM | For obstructive apneas Hypoxemia | For obstructive apneas |
| Trigger | Medium | Medium | High | High | Medium |
| Cycle | Medium | High | Low | Low | Low |
| Ti (sec) | 0.3-2 | 0.3-1 | 0.8-1.5 | 0.8-1.5 | 0.8-1.5 |
| Rise Time (sec) | 300 | 150 | 300 | 300 | 300 |
| RR | | | For central apneas | For central apneas | |

Central Sleep Apnea

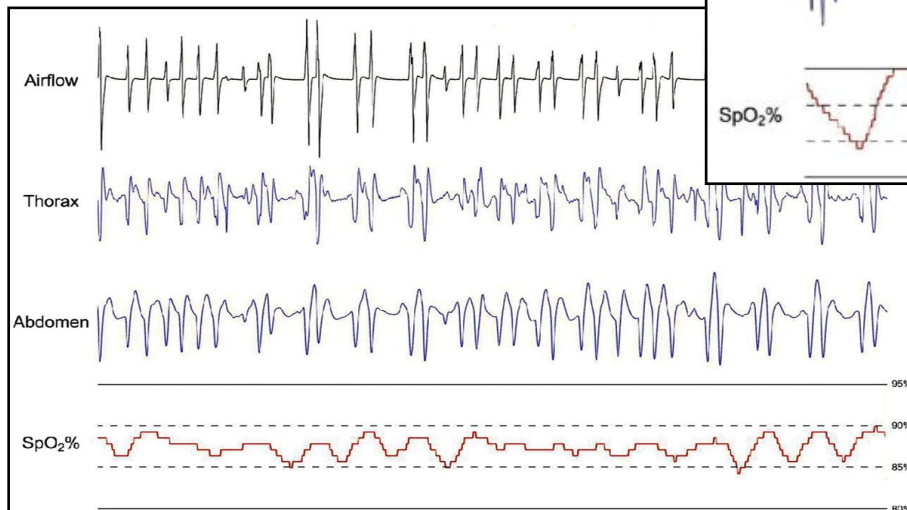
Cheyne-Stokes Breathing



Central Sleep Apnea without Cheyne-Stokes Breathing



Biot's or Ataxic Breathing

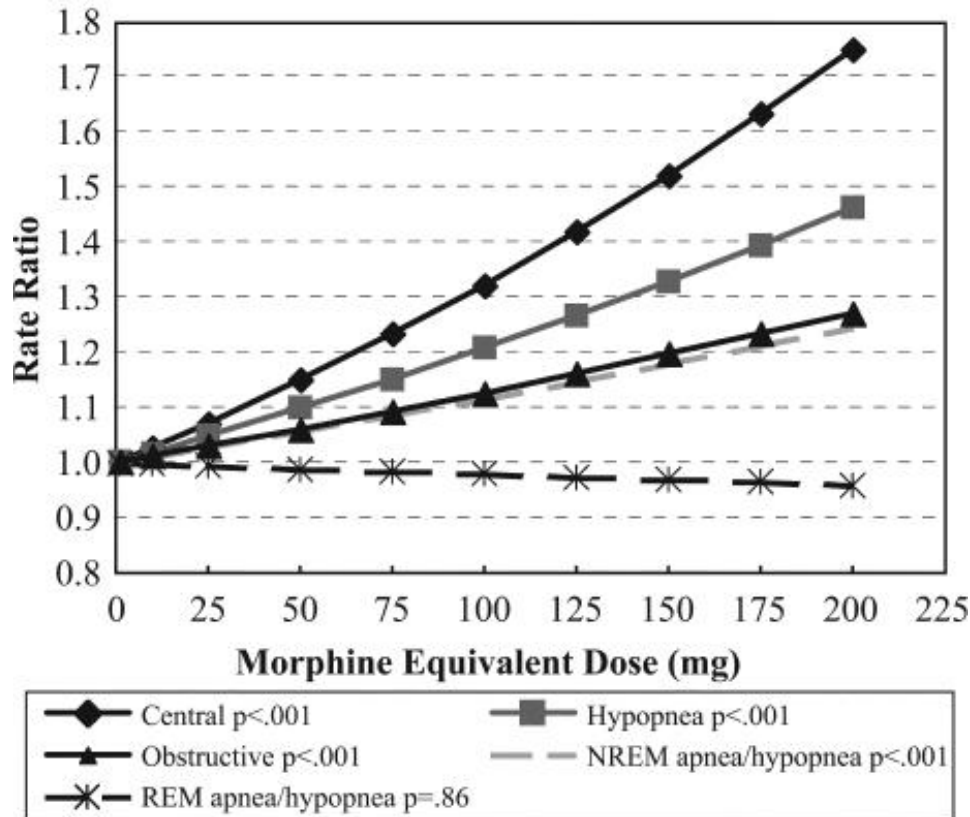


Opioid-induced central sleep apnea (Op-CSA)

A spectrum of sleep breathing disorders may be observed with opioid use

- Obstructive apneas/hypopneas
- Central apneas/hypopneas
- Hypoventilation, hypoxemia
- Ataxic or irregular breathing patterns

Rate Ratios by Increase of Morphine Equivalent Dose



- Morphine equivalent daily dosing (MEDD) >200 mg/d was associated with increased CSA severity and ataxic breathing
- Each 100 mg MEDD increased CAI by 2.8 events/hr compared to patients not taking opioids

Walker et al, JCSM 2007

Op-CSA: Treatment

No clear consensus on how best to manage opioid-induced SDB, apart from using the lowest effective opioid dose

Options include:

- Withdrawal opioids, using non-opioid analgesics
- reducing opioid dose
- selecting an opioid that may have less toxicity (e.g. buprenorphine instead of methadone)
- avoidance of potentially aggravating concurrent drugs
- supplemental oxygen
- PAP
- pharmacologic therapy (e.g. acetazolamide, theophylline, carbon dioxide)

Op-CSA: Summary

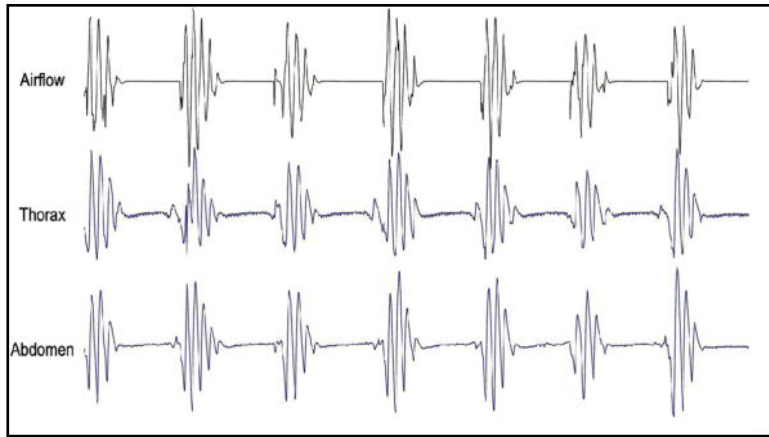
Prevalence

- Reports vary between studies due to small numbers, different sampling (sleep labs vs pain centers, etc), but likely 14-60%
- Op-CSA more commonly take the forms of ataxic breathing or CSA non-CSR, and less commonly CSR
- Many patients may have concurrent OSA
- Risk factors include: opioid dose, female, non-obese, concurrent BZD, anti-depressants, and other sedating medications

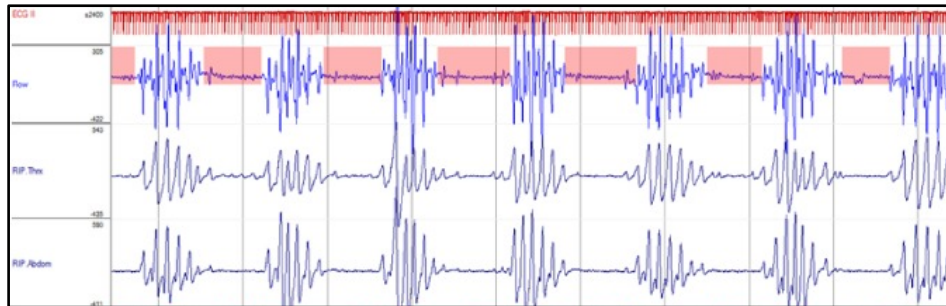
Treatment

- Current data regarding PAP therapy is inconclusive
- CPAP appears to be mostly ineffective in reducing central apneas
- BPAP-ST may eliminate Op-CSA in as many as 60%
- ASV has produced some conflicting results
- Presence of ataxic breathing predicts poor response to any of these PAP modes

TE-CSA: Polysomnographic Features



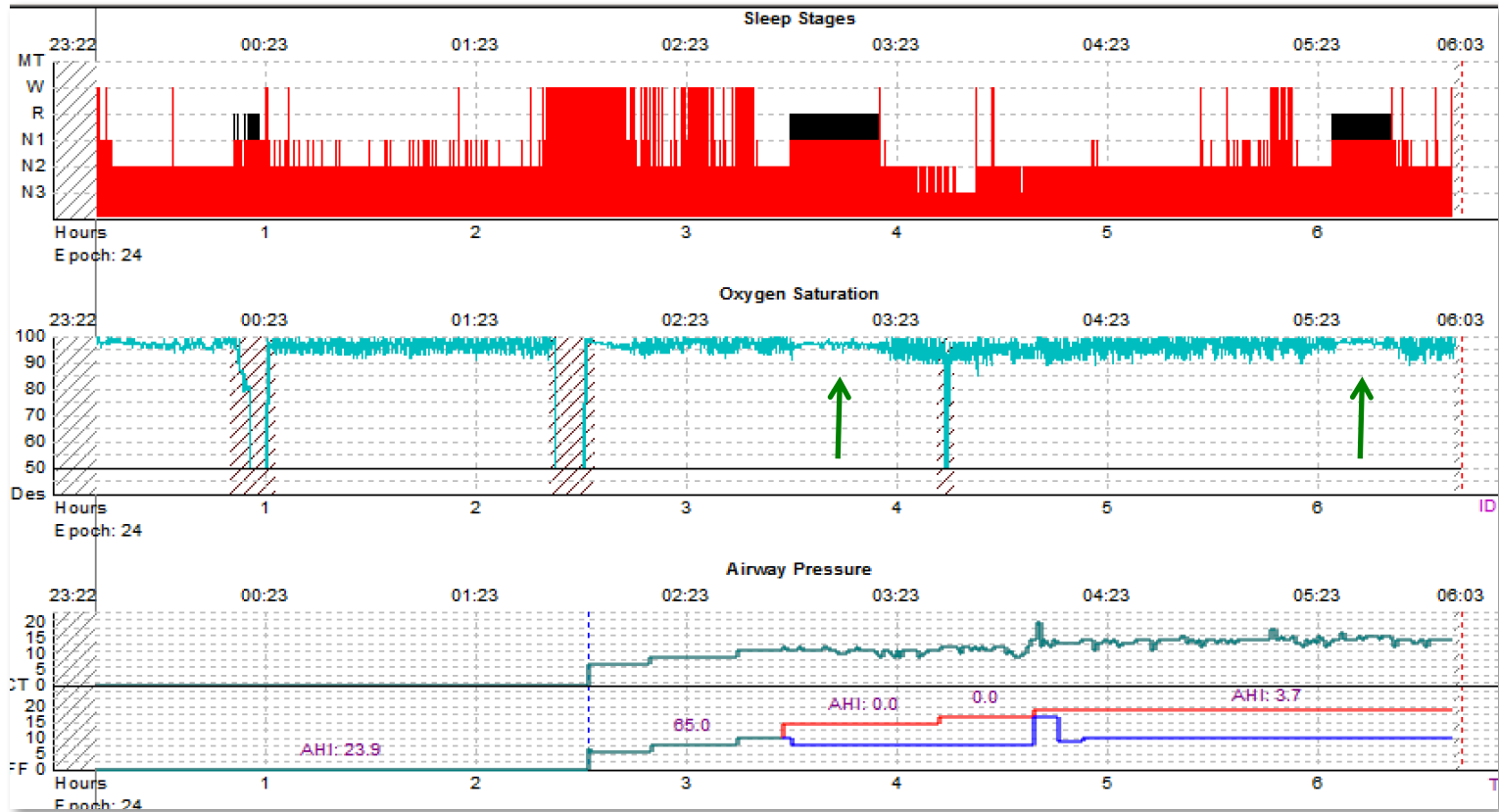
Correa et al, Anesthesia & Analgesia 2015



Dellweg et al, Sleep 2013

- Generally, of the non-Cheyne-Stokes pattern
- Arousals occur at termination of apneas
- Worse in supine vs non-supine sleep
- OSA co-occurs
- Decreased inspiratory effort during an obstructive event
- Longer than expected obstructive apneas

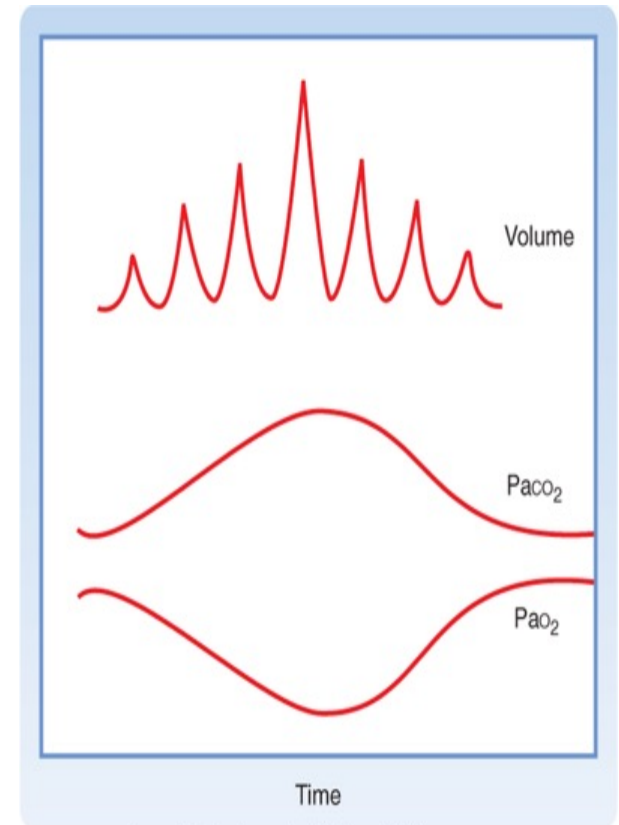
TE-CSA: Polysomnographic Features



TE-CSA occurs almost exclusively during NREM sleep

Why can CPAP and BiPAP worsen periodic breathing and Cheyne Stokes Respiration?

- High pressures may cause PB/CSR in NREM
- CPAP/BPAP may lower CO₂, reach apneic threshold by:
 - increasing ventilation
 - increasing TV
 - increasing RR (with back up rate)
 - lowering upper airway resistance



TE-CSA: Natural Course

- 5-15% of patients with OSA will demonstrate TE-CSA (**emergent**) on initial titration
- Generally transitory: 50-80% resolve with chronic use of PAP (4-12 weeks)
- 1.5% of patients with OSA will have TE-CSA (**persistent**) (CAI ≥ 5)
- 7% may develop TE-CSA (**delayed emergent**) after chronic PAP use
- Those with TE-CSA tend to have more subjective sleepiness than those without CSA
- Long-term clinical consequences unknown

Kuzniar et al, Sleep Breath 2008; Javaheri et al, JCSM 2009; Lehman et al, JCSM 2007; Cassel et al, Eur Respir J 2011

Alphabet soup of PAP technology



- CPAP
- APAP
- BPAP S/T
- Auto-BPAP

- VAPS (auto)
- ASV (auto)
- NIV

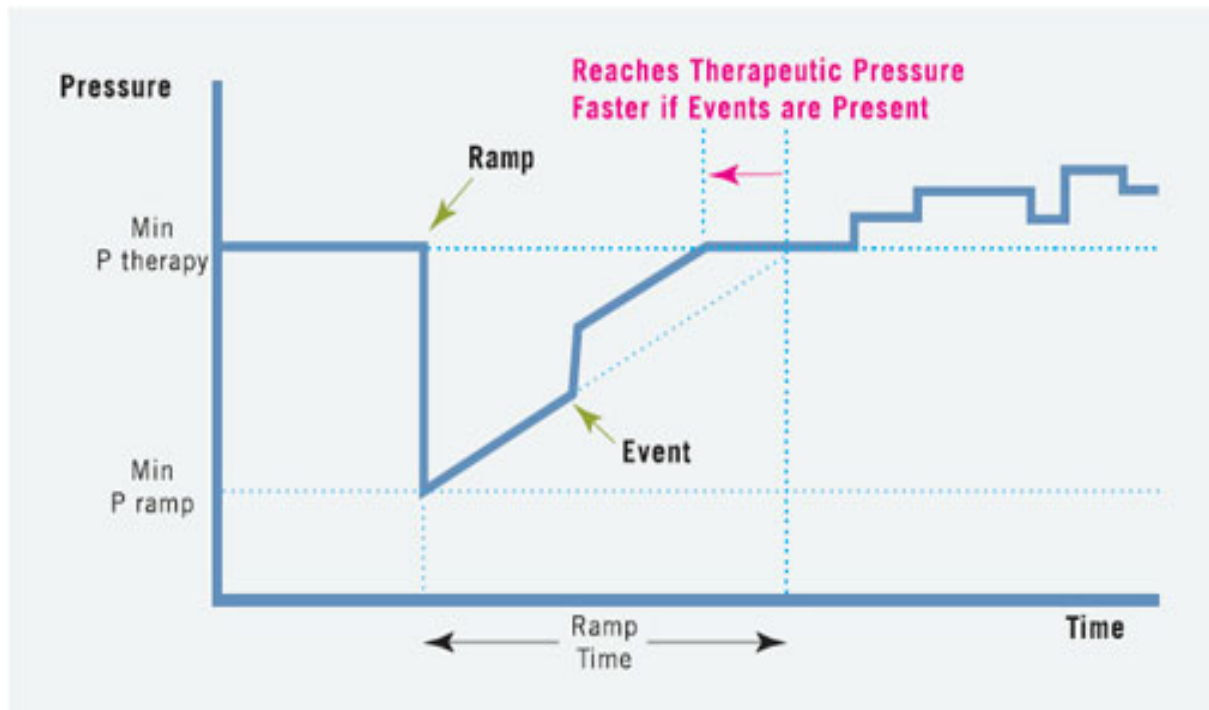


PAP adherence features

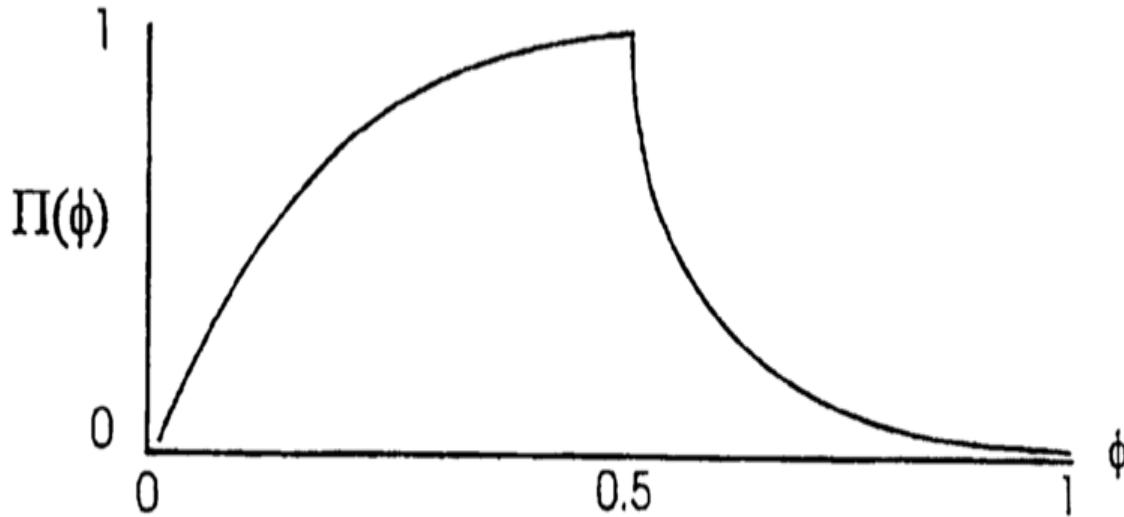
- Mask Technology
- Humification/ Heated tubing
- Ramp
- Auto Adjusting starting pressures
- Automatic start and turn off
- Expiratory Pressure Relief/Flex
- Data storage and retrieval
- Quieter CPAP
- Smaller CPAP

Smart Ramp

- Comfort feature
- Time to fall asleep at lower pressure
- Responds to events should they occur during ramp time

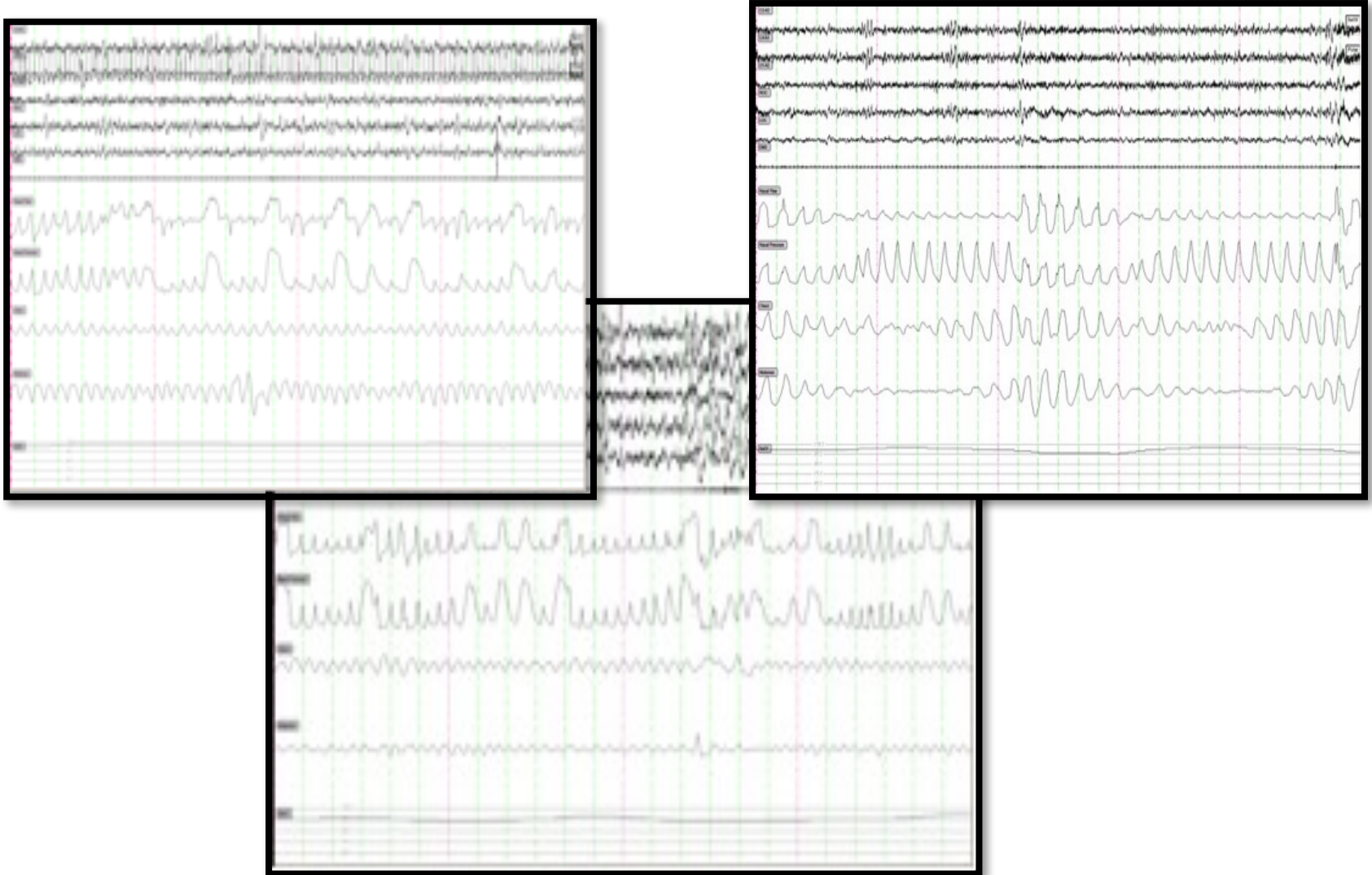


“Easy breath” wave form (ResMed)

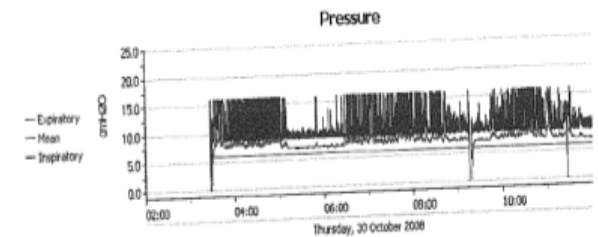
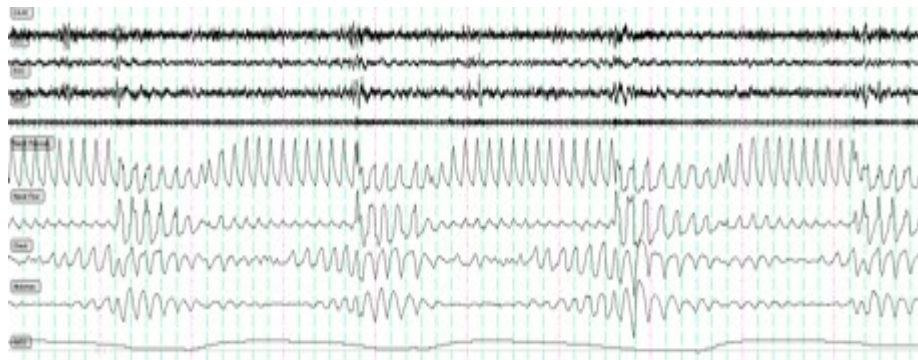
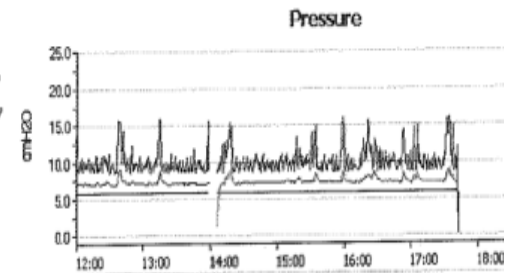
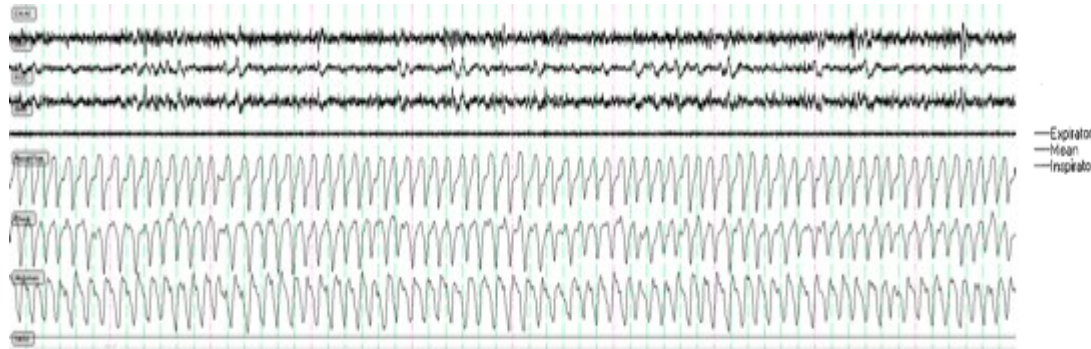


The pressure support is continuously changed throughout inspiration to get to target ventilation while achieving a shark fin shaped wave form.

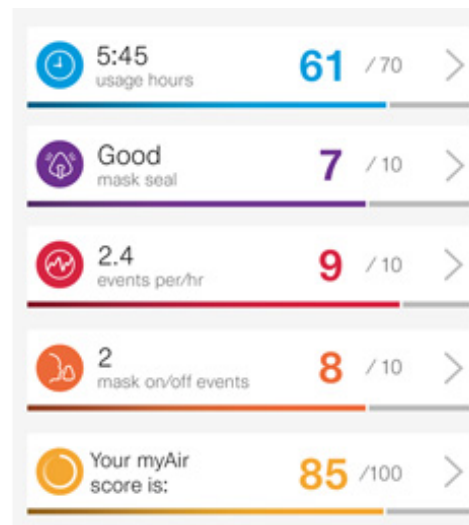
ASV dyssynchronous patterns



Assessing breathing stability and pressure fluctuations during ASV therapy

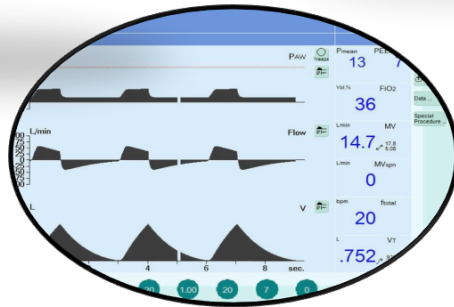
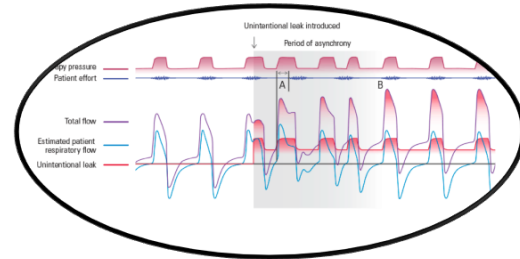


Personalized sleep medicine



Summary

Rapidly advancing PAP technology



Need more data: outcomes, titrations, phenotypes, health care delivery...

Thank you!
Questions?

